

Form for Activation of Segments/Exchange & Re-activation of Trading accounts

(1) Activation of Segments/Exchange

Documents required:

- Certified copy of Pan card
- Certified copy of Address proof
- Income proof (ITR, Bank Statement etc.) in case of activation of derivative segment

(2) Re-Activation of Trading accounts:

Documents required:

- Certified copy of Pan card
- Certified copy of Address proof
- Income proof (ITR, Bank Statement etc.) in case of re-activation of derivative segment

Note:

- In case of change in address, mobile no., email id, nomination, DDPI then separate KYC form/form & KYC documents is required*
- Full KYC form required in case of any materialize change in KYC form of MSB e-Trade*



MSB e-Trade

EXCHANGE	SEGMENT	MEMBER / DP ID	SEBI REGN. NO
NSE	CM/FO/CD/CO	12788	INZ000184638
BSE	CM/FO/CD/CO	6395	
MSE	CM/FO/CD/CO	21000	
NCDEX	CO	00732	
MCX	CO	29905	
CDSL DP	DP	12070600	IN-DP-261-2016
CCRL - RP	RP	12070600	

Regd. & Corp Office:

C-605, Upper Ground Floor, Saraswati Vihar, Pitampura, Delhi-110034, Delhi, INDIA

Phone : +91 - 11 - 47107777

Fax : +91 - 11 - 47107731

E-mail Id : info@msbetrade.com & msbetrade@rediffmail.com

Website : www.msbetrade.com

Compliance Officer's Details

Name : SURINDER KUMAR Phone: +91 - 011 - 47107730

E-mail ID : surin@msbetrade.com

CEO's Details

Name : MUNISH BAJAJ Phone: +91 -0 11 - 47107777 (Ext. 29)

E-mail ID : md@msbetrade.com

Clearing Member Details:-

Name	Globe Capital Market Ltd.
SEBI Regn. No.	INZ000177137
Address	609, Ansal Bhawan, 16 K.G. Marg, New Delhi-110001

For any grievance/dispute please contact MSB E TRADE SECURITIES LIMITED at the above address or email grievances@msbetrade.com and Phone No. +91-11-47107777. In case not satisfied with the response, please contact the concerned exchange(s) at

Exchange Name	E-mail ID	Phone No.
National Stock Exchange of India Ltd.	ignse@nse.co.in	022-26589190, 18002660058
BSE Ltd.	is@bseindia.com	022-22728097
Metropolitan Stock Exchange of India Ltd.	invesorcomplaints@msei.in	022-67319000, 8933
Multi Commodity Exchange of India Ltd.	grievance@mcxindia.com	022-67318888
National Commodity & Derivative Exchange Ltd.	askus@ncdex.com	022-66406084

ACKNOWLEDGEMENT TO MSB e-Trade Securities Limited FROM CLIENT

To,
MSB e-Trade Securities Limited

Date: _____

C-605, Upper Ground Floor, Saraswati Vihar, Pitampura, Delhi-110034

I/We intends to open to Trading account with M/s MSB e-Trade Securities Ltd. who is Member of NSE, BSE, MSEI, MCX, NCDEX, and CDSL, undertakes as follows:

- (1) I/We have been duly aware by Member that client has a preference to receive the below referred documents either in electronic form or in physical form:-
 - A. *Rights and Obligation of Beneficial Owner and Depository Participant as prescribed by SEBI & Depositories.*
 - B. *Right and Obligation of Stock Brokers, Sub-Brokers/Authorised Person and Clients as prescribed by SEBI and Stock Exchange.*
 - C. *Risk and Disclosure documents for capital market and derivative segments.*
 - D. *Guidance note-Do's and Don't for trading on the Exchange(s) for Investors.*
 - E. *Risk and Disclosure documents for Commodity Market.*
 - F. *Rights and Obligation of Members, Authorised Person and Clients as prescribed by SEBI and Commodity Exchange for Commodity Market.*
 - G. *Internet and Wireless technology based trading facility provided by Stock Brokers to Client.*
 - H. *Guidance note-Do's and Don't for the clients for Trading in commodity segment*
 - I. *Most Important Terms and Conditions (MITC)*
 - J. *Policies & Procedures of the Members*
- (2) I/We am/are further aware by my/our Member that for receiving the above said documents in Electronic or Physical Form, I/We have to accord my/our consent.
- (3) Therefore, in reference to the above, I/we hereby voluntarily accord my/our consent to receive the aforesaid documents in:- Electronic Form Physical Form
- (4) If I/We opted for the same in Electronic mode, then Member can sent said aforesaid documents at my registered email id.
- (5) I/We have been further aware by my/our Member that the aforesaid documents has also been available at the Member's website i.e. at www.msbetrade.com
- (6) I/We am/are aware that the non receipt of bounced mail notification by the Member shall amount to delivery of the aforesaid documents at my registered email id.
- (7) I/We hereby accord my/our consent to an arbitration agreement by virtue of which I/We shall refer all my/our claims, difference or disputes between us which might have arise out of my/our trading, deposits, margin money, etc. in relation to my/our dealings in contracts and transactions which have been made subject to the Bye-Laws, Rules and Regulations of the Exchange or with reference to anything incidental thereto or in pursuance thereof or relating to their validity, construction, interpretation, fulfillment or the rights, obligations and liabilities of the parties thereto and including any question of whether such dealings, transactions and contracts have entered into, to the arbitration in accordance with the provisions of these Byelaws, Rules and Regulations of the Exchange.



Client Signature

Client Name

RECEIPT OF PHYSICAL KIT

To,
MSB e-Trade Securities Limited

Date: _____

C-605, Upper Ground Floor, Saraswati Vihar, Pitampura, Delhi-110034

I/We hereby confirm that I/We have received a copy of following documents:

- A. *Rights and Obligation of Beneficial Owner and Depository Participant as prescribed by SEBI & Depositories.*
- B. *Right and Obligation of Stock Brokers, Sub-Brokers/Authorised Person and Clients as prescribed by SEBI and Stock Exchange.*
- C. *Risk and Disclosure documents for capital market and derivative segments.*
- D. *Guidance note-Do's and Don't for trading on the Exchange(s) for Investors.*
- E. *Risk and Disclosure documents for Commodity Market.*
- F. *Rights and Obligation of Members, Authorised Person and Clients as prescribed by SEBI and Commodity Exchange for Commodity Market.*
- G. *Internet and Wireless technology based trading facility provided by Stock Brokers to Client.*
- H. *Guidance note-Do's and Don't for the clients for Trading in commodity segment*
- I. *Most Important Terms and Conditions (MITC)*
- J. *Policies & Procedures of the Members*



Client Signature

Client Name

Request Letter for activation of New Segments / Exchange in Trading Account

Date: _____

To:

M/s MSB e-Trade Securities Limited
C-605, Upper Ground Floor, Saraswati Vihar,
Pitampura, Delhi-110034

Dear Sir,

SUB: Request for reactivation of New Segments / Exchange in the Trading Account.

Ref: Client / Trading Account No: _____

I/We, having the above referred Trading Account maintained with you based on duly filled and signed Client Registration Form along with the relevant KYC supporting documents provided by me/us at the time of Opening the Trading Account.

1. I request you to activate my/our Trading Account for the following Trading Segments: (Please sign for the segments you want to activate)

TRADING PREFERENCES: Please sign in the in relevant boxes where you wish to trade. The segment not chosen should be struck off. If, in future, the client wants to trade on any new segment/new exchange, separate authorization letter should be submitted.

Exchanges	NSE, BSE & MSEI			MCX, NCDEX, BSE & NSE
	Cash/ Mutual Fund	F & O	Currency	Commodity Derivative
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
If you do not wish to trade in any of segment / Mutual Fund, Please mention here:				

If, in future, the client wants to trade on any new segment/new exchange, separate authorization should be taken from the client by the stock broker.

Other details as per Annexure – 3 (Trading / Demat Account Related Details)

DECLARATION

- (1) I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/We are aware that I/We may be held liable for it.
- (2) I/We confirm having read/been explained and understood the contents of the documents on policy and procedures of the stock broker and the tariff sheet.
- (3) I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Documents'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for information on stock broker's designated website, if any.
- (4) I/We declare that MSB e-Trade Securities Ltd. the broker, have put me/us on notice that it is engaged in not only client based trading but also in pro-account trading.
- (5) I/We confirm to give my/our consent to download records from CKYC.

Client Signature

Client Name _____

To,
MSB E-TRADE SECURITIES LIMITED
 C-605, Upper Ground Floor, Saraswati Vihar, Pitampura, Delhi-110034

Dear Sir,

I, _____ a client with Member M/s. MSB E-TRADE SECURITIES LIMITED Of Exchange undertake as follows:

- I am aware that the Member has to provide physical contract note in respect of all the trades placed by me unless I myself want the same in the electronic form.
- I am aware that the Member has to provide electronic contract note for my convenience on my request only.
- Though the Member is required to deliver physical contract note, I find that it is inconvenient for me to receive physical contract notes. Therefore, I am voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out / ordered by me.
- I have access to a computer and am a regular internet user, having sufficient knowledge of handling the email operations.
- My email id is _____. This has been created by me and not by someone else.
- I am aware that this declaration form should be in English or English and any other Indian language known to me.
- I am aware that non-receipt of bounced mail notification by the member shall amount to delivery of the contract note at the above e-mail Id.

The above declaration and guidelines on ECN given in the Annexure have been read and understand by me. I am aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same]

*** (The email id must be written own handwriting of the client)**

Client Name : _____
 Unique Client Code : _____
 PAN : _____
 Address : _____

Signature of Client (with rubber stamp)									
Date:			-			-			
Place:									

Verification of the client signature done by, Name of the designated officer of the Member:	
Signature: _____	Date: __ / __ /

**TARIFF SHEET
(BROKERAGE & STATUTORY CHARGES
CASH SEGMENT**

Brokerage Slab	Slab %	Minimum Paise	Min. Per Transaction
Delivery Based			
Daily Square up (Intra Day)			
Illiquid Shares			

CASH SEGMENT

Brokerage Slab	Slab %	Minimum Paise	Min. Per Transaction
Delivery Based			
Daily Square up (Intra Day)			
Z Group Transaction			

DERIVATIVES

Brokerage Slab	Slab %	Minimum Paise	Min. Per Lot
Intra Day			
Future Carry Forward			
Option Carry Forward			

DERIVATIVES (CURRENCY)

Brokerage Slab	Slab %	Minimum Paise	Min. Per Lot
Intra Day			
Future Carry Forward			
Option Carry Forward			

COMMODITY DERIVATIVE (FUTURE & OPTION)

Brokerage Slab	Slab %	Minimum Paise	Min. Per Lot
Intra Day			
Future Carry Forward			
Option Carry Forward			

- All Charges (namely ; Exchange Transaction, Clearing Member Charges, SEBI Fee etc.) and Statutory Taxes (namely; Service Tax, Securities Transaction Tax, Stamp Duty etc.) shall be charges as per rates applicable from time to time in addition to brokerage.
- In case of online trading terminals provided to the client, the software charges (bases on monthly rent or based on % of turnover) shall be charges extra.
- Charges mentioned above are in respect of services mentioned above only and charges for any service provided to client other than those listed above shall be charges extra.
- Late payment penalty @2% p.m. calculated on daily overdue balance shall be charges till actual realisation.
- Charges quoted above are for the services listed. Any service not quoted above will be charges separately.
- In case of physical contract note are being dispatched to client, a difference of Rs. 25/- in total brokerage booked on a particular date would be charges towards minimum processing fee.

Client Name _____

Client Signature

DISCLOSURE REGARDIGN PROPRIETARY TRADING

To, _____ Date: _____

Client Code: _____ Client Name: _____

SUBJECT : DISCLOSURE REGARDING PROPRIETARY TRADING

As required under Circular No. SEBI / MRD / SE / Cir-42 / 2003 dated 19.11.2003 issued by the Securities and Exchange Board of India; we hereby disclose that in addition to client-based business, we are also doing proprietary trading.

I/We acknowledge the above information.

For MSB e-Trade Securities Ltd.

Client Signature

Authorised Signatory

Annexure A
Most Important Terms and Conditions (MITC)
(For non-custodial settled trading accounts)

1. Your trading account has a “Unique Client Code” (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

 _____
Client Signature

Client Name _____

24. POLICY FOR VOLUNTARY FREEZING OF ONLINE ACCESS OF CLIENT'S TRADING ACCOUNT

1. Introduction

This is with reference SEBI vide its circular SEBI/HO/MIRSD/POD-1/P/CIR/2024/4 dated January 12, 2024 and Exchanges vide their circulars dated April 08, 2024 on the subject "Ease of Doing Investments by investors - facility of voluntary freezing/blocking the online access to the clients on account of suspicious activities.

2. Purpose

The policy aims to guide MSB e-Trade clients on the process, modes, time lines, and other details for facilitating the voluntary freezing of their trading accounts to avoid any suspicious activity.

3. Scope and Applicability

This policy shall be applicable as and when clients intend to voluntary freeze / block online access to their trading account and subsequently desires to unfreeze the same. This is policy is the part of Risk Management Policy. This policy shall also form a part of the account opening kit for all new clients on boarded with effect from July 01, 2024.

4. Process & Procedure by the client to Voluntary freezing of online access of client's trading account

a. Request for freezing

Through the Website

Client may request for voluntary freezing / blocking the online access to their trading account through the website www.msbetrade.com, the client must enter all following details Displays on the Page and submit the request:-

- Client Code/ UCC Code no.
- Client Name
- E-mail id
- Mobile no. (Registered)

Request for freezing - Through Dedicated Email

Client may request by Dedicated Mail id "stoptrade@msbetrade.co.in" with the following details

- Subject of the Email "Request to freezing / blocking the online access to their trading account."
- Mail Text atleast "Request to freezing / blocking the online access to their trading account."
- Client Code/ UCC Code no.
- Client Name
- E-mail id
- Mobile no. (Registered)

The client shall submit request for freeze.

b. Request for Un-freezing

Through the Website

Client may request for unfreezing / unblocking the online access to their trading account through the website www.msbetrade.com, the client must enter all following details Displays on the Page and submit the request:-

- Client Code/ UCC Code no.
- Client Name
- E-mail id
- Mobile no. (Registered)

Through Dedicated Email

Client may request by Dedicated Mail id "kychelp@msbetrade.com" with the following details

- Subject of the Email "Request to unfreezing / unblocking the online access to their trading account."

Mail Text atleast "Request to unfreezing / unblocking the online access to their trading account."

Client Code/ UCC Code no.

Client Name

E-mail id

Mobile no. (Registered)

5. Process & Procedure by the msb e-Trade to Voluntary freezing/blocking & unfreezing/unblocking of online access of client's trading account

On receipt of such request, the online access of the client's trading account shall be voluntary frozen/blocked & unfrozen/unblocked by the backend team within the stipulated time frame i.w. within 15 minutes (for frozen/blocked)

Important Points to Note:

- It is advised that the client closed all his open positions before giving the request for freezing the account.
- Client's account will be blocked, within 15 minutes of receiving the freeze request.
- Confirmation of the freeze of the account will be sent via email and SMS, including process to unfreeze the account.
- All pending orders, whether placed online or offline by the client, will be cancelled by the system and trading access will be blocked.
- Clients will receive details of any open positions along with contract expiry information within an hour of freezing the account.
- Upon freezing, the client will be logged out of the app but can log in for exploratory purposes without the ability to place trades.
- Fund addition and withdrawal will be allowed.
- In case client MTM is 80% or above, all the open positions will be auto squared off as per risk management policy of MSB e-Trade
- In case of margin shortage, client position will be auto squared off to the extent of margin shortage.
- If client's account has a negative balance, their investments will be sold to cover the amount owed.
- Any open position under Intraday product will be auto squared off by the system as per the Intraday product policy.
- Simultaneously all the pending orders including 'Good till date' and Equity, F&O, CD, Commodity orders shall be cancelled.

7. Policy Review:

The said policy shall be a part of MSBETRADE's Risk Management Policy and shall be reviewed along with the said policy on a half yearly basis.

8. Circular references:

- SEBI/HO/MIRSD/POD-1/P/CIR/2024/4 dated January 12, 2024
- NSE/INSP/61529 dated April 08, 2024
- BSE notice 20240408-12 dated 08 Apr 2024
- MCX/INSP/218/2024 dated April 09, 2024
- NCDEX/COMPLIANCE-025/2024 dated April 09, 2024



Signature

Name of the Client: _____

Date:

To
MSB e-Trade Securities Limited
C-605, Upper Ground Floor, Saraswati Vihar,
Pitampura, Delhi-110034

Subject: CKYC Download/retrieve Consent


Sir,

I/We _____ submitting my/our application / form to opening of Trading account / Demat Account / KRA / CKYC (New or Modification) through physical form or eKYC.

I/We hereby give my/our an explicit consent to download / retrieve the KYC records or information or any other additional identifications documents or details from CKYCR. (Central KYC Records Registry).

Kindly accept my/our consent and do the needful.

Thanks & Regards

 _____
Client Signature

Client Name _____

MSB e-Trade

Category Disclosure by client for Commodity Derivatives Market / Trading

To,
KYC Department
MSB e-Trade Securities Limited
C-603 Upper Ground Floor, Saraswati Vihar,
Pitampura, Delhi-110034

Subject: Disclosure by client for Commodity Derivatives

Client Code: _____

Dear Sir,


I/ We _____ bearing my/our client code _____ hereby disclose/confirm as my/our category of my/our participation in commodity derivative market as under:-

Categorise the participants in the following six categories: (Tick)

- i. **Farmers/FPOs:** It includes participants such as farmers, farmers' cooperatives, Farmers Producers Organizations (FPOs) and such entities of like nature.
- ii. **Value chain participants (VCPs):** It includes participants such as Processors, Commercial users as Dal and Flour Millers, Importers, Exporters, Physical Market Traders, Stockists, Cash & Carry participants, Produces, SMEs/MSMEs & Wholesalers etc., but exclude farmers/FPOs.
- iii. **Proprietary traders:** It includes the members of stock exchanges trading in their proprietary account.
- iv. **Domestic financial institutional investors:** It includes participants such as Mutual Funds (MFs), Portfolio Managers, Alternative Investment Funds (AIFs), Banks, Insurance Companies and Pension Funds etc., which are allowed to trade in commodity derivatives.
- v. **Foreign participants:** It includes participants such as Eligible Foreign Entities (EFEs), NRIs etc. which are allowed to trade in commodity derivatives markets.
- vi. **Others:** All other participants which cannot be classified in the above categories. _____ (Details of others)

I/we hereby confirm the aforesaid information is true to best of my knowledge and belief.

Thanks & Regards

Name of the client :	
Signature of the client :	
Date: \ \	Place:

Request Letter for activation of Dormant Trading Account

Date: _____

To:
M/s MSB e-Trade Securities Limited
C-605, Saraswati Vihar, Pitampura, Delhi-110034

Dear Sir,

SUB: Request for reactivation of the Trading Account.
Ref: Client / Trading Account No: _____

I/We, having the above referred Trading Account maintained with you based on duly filled and signed Client Registration Form along with the relevant KYC supporting documents provided by me/us at the time of Opening the Trading Account. Due to some unavoidable reasons, I/we could not place/execute any trades/orders in the said account, since last 12 months, resulting in the account been kept under "Inactive" mode at your end as "Dormant Account". However, I/we have decided to start the trading activity and hence request you to kindly "Reactivate" the Account to enable us to trade in the account.

2. I request you to activate my/our Trading Account for the following Trading Segments: (Please sign for the segments you want to activate)

Exchanges	NSE, BSE & MSEI			MCX, NCDEX, BSE & NSE
All Segments	Cash/ Mutual Fund	F & O	Currency	Commodity Derivative
If you do not wish to trade in any of segment / Mutual Fund, Please mention here:				

3. I am enclosing here with the proof for latest financial information. **(Compulsory for activation for derivatives trading)**

- Last Six Months Bank Statement Networth Certificate Latest IT Return
 Latest DP Holding Statement Form 16/ Latest Salary Slip Latest Balance Sheet

4. Gross Annual Income Details (Income Range per annum):

- Below ₹. Rs. 1 Lakh ₹. 1 Lakh to 5 Lakh ₹. 5 Lakh to 10 Lakh
 ₹. 10 Lakh to 25 Lakh ₹. 25 Lakh to 1 Crore ₹. > 1 Crore

5. I have gone through the current Member / Client Agreements, RDD, Disclosures and Policies and Procedures etc. available in your web-site as applicable to current trading practices and I agree to abide by the same.

6. I confirm that there is no change in my/our key KYC details like, Address, PAN Number, ID Proof, and Telephone Number, Depository and Bank Account for all Pay-in and Pay-out obligations etc.,

OR

Changes in the KYC details are separately given for updation along with required document proof.

***(APPLICATION FOR CHANGES IN CLIENT MASTER (KRA / MSB e-Trade Securities Limited (TRADING & DP))**

7. Bank Account Details (**Only if there is change or additional bank is to be added**)

Copy of the cancelled Cheque leaf (for IFSC/MICR number) along with the latest transaction statement or Pass book and/or Banker's letter for having the Account

Bank Name	Branch Address	Bank Account no.	A/c Type	IFSC & MICR	
				IFSC	
				MICR	

8. Demat Account Details (**Only if there is change or additional bank is to be added**):

(Copy of Client Master / Latest Transaction Statement, where the Client name appears)

Depository Participant Name	Depository Name	Beneficiary Name	DP ID	Beneficiary ID (BO ID)

9. I / We are enclosing herewith the cheque for the outstanding amount over due in my account up to date. Cheque No.....datefor Rs.....drawn onBank.

I/We authorize you to debit the charges, if any, to our Trading account maintained with you. Hope the above documents are in line with your need and in case of any further information / details / documentation; I give my commitment to adhere to the same. Hence, request you to do the needful and communicate the same to me/us at the earliest.

I/We hereby declare that the details furnished above furnished are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading, I/We am/are aware that I/We be held liable for it. In case of any further information / details / documentation required by you; I/We give my/our commitment to adhere to the same.

Name (s) of the Client/Authorised Signatory

Signature of Client /Authorised Signatory  (with rubber stamp in case of Non-Individual)

Date: / / Place:

➤ **Note: Please provide a self-attested PAN CARD photo copy**

For Office Use Only

		<u>Documents Accepted by:</u>	<u>Documents Verified by:</u>
Branch Code (if applicable)			
Name of the Employee			
Employee Code			
Designation of the Employee			<u>Activation Done by:</u>
Date			
Signature			

Annexure I
Undertaking for reactivation of client trading account

Date: _____

To
M/s MSB e-Trade Securities Limited
C-605, Saraswati Vihar, Pitampura,
Delhi-110034

Dear Sir,

Subject: My/Our request for reactivation of client trading account in commodity derivatives on MCX/NCDEX/NSE/BSE

I/We _____ (name of the client), have trading account with Unique Client Code _____ allotted to me/us by your office situated at _____ (branch name) since _____ (date of activation of the account).

I/We have not been trading in commodity derivative contracts on MCX/NCDEX/NSE/BSE trading platform since _____ (last trade date). However, I/we am/are desirous of trading again in commodity derivative contracts on MCX/NCDEX/NSE/BSE platform.

I/We hereby confirm and undertake that:

1. I/We have completed all the KYC formalities and submitted all the required documents thereof at the time of opening the trading account originally and enrolling as a client with you.
2. There is no material change in the information viz., address, bank account, PAN no. etc. as contained in the documents submitted originally at the time of opening trading account with you.

I/We, therefore request you to reactive my/our trading account and allow trading with immediate effect.

Yours faithfully,



(Name and Signature of the client)

Name of the Client:

UCC code :

MSB e-Trade

A. OTHERDETAILS

- Gross Annual Income Details : Income Range per annum : Upto Rs. 1 Lac Rs. 1 Lac to 5 Lac
(please specify) Rs. 5 Lac to 10 Lac Rs. 10 Lac to 25 Lac Rs. 25 Lac to 1 Crore >1 Crore
- OR**
NetWorth : Amount Rs.....as on(date)...../...../.....
(Net worth should not be older than 1year) (Compulsory for Non-Individuals)
- Occupation : Private Sector Public Sector Business Government Service Professional
(please tick any one and give brief details) Agriculturist Retired Housewife Student Others_____Pl.Specify
- Nature of Business : Manufacturing Services Consultancy Others_____Pl.Specify
- Please tick, if applicable : Politically Exposed Person(PEP) Related to a Politically Exposed Person (PEP)
- Any other information :

B. BANK ACCOUNT(S) DETAILS

Bank Name	Branch Address	Bank Account No.	Account Type	MICR Number	IFSC Code
			<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others..... In case of NRI: <input type="checkbox"/> NRE <input type="checkbox"/> NRO		

C. DEPOSITORY/REPOSITORY ACCOUNT(S) DETAILS

Depository / Repository Participant Name	Depository / Repository Name	Beneficiary Name	DP/RP ID	Beneficiary ID (BO ID)
MSB e-Trade Securities Ltd.	<input type="checkbox"/> CDSL		12070600	
	<input type="checkbox"/> CCRL		12070600	
	<input type="checkbox"/> NSDL <input type="checkbox"/> CDSL			

D. TRADINGPREFERENCES

Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

Exchanges	NSE, BSE & MSEI			MCX, NCDEX, BSE & NSE
	Cash / Mutual Fund	F & O	Currency	Commodity Derivatives
4a	4a	4b	4c	4d

If you do not wish to trade in any of segment/ Mutual Fund, Please mention here:

#If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter should be taken from the client by the stock broker.

E. PAST ACTIONS

Details of any action/proceedings initiated/pending/taken by SEBI/Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years:

F. DEALINGS THROUGH SUB-BROKES AND OTHER STOCK BROKERS

If client is dealing through the sub-broker/Authorised Person (AP), provide the following details:					
Sub-broker's/AP Name					
SEBI/AP Registration number					
Registered office address					
Ph.		Fax		Website	
Whether dealing with any other stock broker/sub-broker/AP (if case dealing with multiple stock brokers/sub-brokers, provide details of all)					
Name of stock broker					
Name of Sub-Broker/AP, if any					
Client Code			Exchange		
Details of disputes/dues pending from/to such stock broker/sub- broker/AP					

G. ADDITIONAL DETAILS

Whether you wish to receive Physical Contract note or Electronic Contract note (ECM) (Please Specify)	<input type="checkbox"/> Physical Contact Notes <input type="checkbox"/> Electronic Contract Note(ECN)
Whether you wish to receive Communication from Member in Electronic Form on your email id	<input type="checkbox"/> Yes <input type="checkbox"/> No (If yes, then please fill in Appendix A)
I/We wish to avail facility provided by the exchange	<input type="checkbox"/> SMS Alert <input type="checkbox"/> E-mail Alert <input type="checkbox"/> Both
In case of ECN/E-mail alert pl. specify your Email id	
In case of SMS alert, please specify you Mobile No.	
Whether you wish to avail of the facility of internet trading/ wireless technology (please specify)	
Number of years of Investment/Trading Experience	<input type="checkbox"/> No prior experience <input type="checkbox"/> _____ years in commodities <input type="checkbox"/> _____ years in other investment related fields
In case of non-individuals, name, designation, PAN, UID, signature, residential address and photographs of persons authorized to deal in securities on behalf of company/firm/others:	
Any other information	

